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Confined crystallization of spin crossover nanoparticles in block copolymer micelles

Christoph Göbel[a], Christian Hils[b], Markus Drechsler[c], Dirk Baabel[d], Andreas Greiner[e], Holger Schmalz*[b], Birgit Weber*[a]

Abstract: Nanoparticles of the spin crossover coordination polymer \([\text{FeL(bipy)}]\), were synthesized by confined crystallization within the core of polystyrene-block-poly(4-vinylpyridine) (PS-b-P4VP) diblock copolymer micelles \((D_{\text{core}} = 16 \pm 2 \text{ nm}, D_h = 87 \pm 37 \text{ nm})\), utilizing the 4VP units in the micellar core as coordination sites for the iron complex. In the bulk material, the spin crossover nanoparticles in the core are well isolated from each other allowing thermal treatment without disintegration of their structure, e.g. through agglomeration. During annealing above the glass transition temperature of the polystyrene block, the transition temperature is shifted gradually to higher temperatures from the as-synthesized product \((T_{\text{1/2}} = 163 \text{ K} \text{ and } T_{\text{c}} = 170 \text{ K})\) to the annealed product \((T_{\text{1/2}} = 203 \text{ K} \text{ and } T_{\text{c}} = 217 \text{ K})\) and goes along with an increase in hysteresis width from 6 K to 14 K. Thus, the spin crossover properties of the nanocomposite can be shifted towards the properties of the related bulk material by confined crystallization. The excellent stability of the nanocomposite allows further processing, demonstrated here using electrospinning from solution.

The synthesis of nanoparticles (NPs) of functional materials is often considered an important step towards applications.[1] A highly relevant aspect to consider is the stability of the obtained NPs, the conservation of their functional properties down to very small sizes (if possible the observation of additional size-dependent properties) and the suitability for integration into devices. The spin crossover (SCO) phenomenon has gained interest in many different fields over the last decades.[2–6] SCO complexes can be switched between two states, the high-spin (HS) and the low-spin (LS) state, by a wide range of external stimuli\(^{[7]}\) such as temperature, pressure, light irradiation or the inclusion of guest molecules.\(^{[4,8]}\) Furthermore, the physical properties of either the complex itself (e.g. color, magnetism, structure)\(^{[5,9]}\) or associated properties in multifunctional systems (e.g. conductivity,\(^{[16]}\) luminescence\(^{[15]}\)) change upon switching and raise their interest for applications, especially as sensors.\(^{[12]}\)

In order to realize such applications, an easy processing of the complexes is indispensable for the integration in devices. This task (including down-sizing) is challenging as most of the SCO properties, especially the observation of wide thermal hysteresis loops, depend on the crystal packing.\(^{[20]}\) So far, to the best of our knowledge, only 5 examples are known where thermal hysteresis loops can be maintained for particle sizes below 20 nm.\(^{[13,14,15,16]}\) In general, SCO systems are excellently suited to investigate the influence of decreasing particle size\(^{[11,15,17–19]}\) crystallinity and matrix effects\(^{[16,20–22]}\) on the nanomaterial as a wide range of different methods can be used to follow the SCO. Those investigations lead to the observation of different phenomena like the predicted re-appearance of hysteresis loops below a 8 nm particle size\(^{[16]}\) or the stabilizing effect of a rigid SiO\(_2\) shell on the hysteresis for 10 nm\(^{[16]}\) particles as well as larger ones.\(^{[23]}\)

In a prior work, we reported a new approach for the synthesis of narrowly distributed 50 nm SCO nanoparticles within the P4VP cores of PS-b-P4VP block copolymer (BCP) micelles, where a shift of the SCO transition temperature to lower temperatures and a significantly smaller thermal hysteresis loop was observed.\(^{[23,24]}\) Here, we show that it is possible to trigger the crystallization of even smaller nanoparticles in the micellar confinement, if the material is heated above the glass transition temperature of the PS shell. This leads to a significant improvement of the SCO properties down to particle sizes as small as 16 nm.

The spin crossover coordination polymer – block copolymer (SCO CP-BCP) composite particles were synthesized as described previously by dissolving the PS-b-P4VP diblock copolymer (PSb[4,8]V\(_{15}\))\(^{[14]}\); subscripts denote content of the respective

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Scheme 1. General synthesis approach and used abbreviations.

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block in wt%, superscript gives the number average molecular weight in kg mol\(^{-1}\)) and the complex [FeL(MeOH)\(_2\)] in tetrahydrofuran (THF) followed by subsequent addition of the bridging ligand 4,4’-bipyridine (bipy) and iron complex under reflux conditions (Scheme 1, see Supporting Information for details).\(^{[20]}\) In total 5 cycles of addition of complex and bridging ligand were done, leading to a ratio of about 33.5 mg of iron complex in 50 mg BCP. Raman measurements on the produced SCO CP-BCP composite particles revealed identical Raman spectra compared to that observed for the neat SCO CP crystals, confirming the successful formation of SCO CP in the micellar core of the S\(_{26}V_{15}\)\(^{[154]}\) micelles (Figure S1): also confirmed by IR spectroscopy (Figure S2). The average hydrodynamic diameter of the SCO CP-BCP particles in solution was determined to \(D_h = 87 \pm 37 \text{ nm}\) (Figure 1E, for corresponding autocorrelation function see Figure S3) by dynamic light scattering (DLS), which is slightly larger compared to that of the empty BCP micelles \((D_h = 75 \pm 28 \text{ nm}, \text{ Figure 1C and Figure S3})\). This might be attributed to the incorporation of the SCO CP inside the P4VP core of the micelle. The different sizes are also reflected in the cryo-TEM pictures for the empty BCP micelles (Figure 1A) and the SCO CP-BCP particles (Figure 1D). Cryo-TEM confirms the spherical shape of both the empty BCP micelles with a size of 26 ± 2 nm (Figure 1C) as well as the composite nanoparticles with a size of 34 ± 5 nm (Figure 1F).

TEM measurements of the SCO CP-BCP composite particles in the dry state, clearly reveal the core shell structure of the particles, with the dark appearing domains corresponding to the P4VP core containing the iron CP and the grey appearing domains to the PS block forming the shell (Figure 2C). For the size distribution of the particles only the core of the particles was measured and a core diameter of \(D_{\text{core}} = 16 \pm 2 \text{ nm}\) is obtained (Figure 2E), while the empty BCP micelle cores have a diameter of \(D_{\text{core}} = 15 \pm 2 \text{ nm}\) (Figure 2B). The coordination of the paramagnetic complex [FeL] to the P4VP units is also confirmed by the paramagnetic shift of the V-P signals in the \(^1\text{H NMR}\) spectrum of the nanocomposite (Figure S11) compared to the pure BCP.

The magnetic properties of the SCO CP-BCP composite particles were analyzed using temperature dependent magnetic measurements and Mössbauer spectroscopy. The room temperature Mössbauer spectrum of the composite allows to identify the different iron species that may occur during the synthesis and is given in Figure S4.\(^{[21]}\) It shows one distinct doublet that can be attributed to a Fe(II) HS species. The doublet has an isomer shift \(\delta = 0.937(6) \text{ mm/s}\), a quadrupole splitting \(\Delta E_Q = 2.177(13) \text{ mm/s}\) and a line width (HWHM) of 0.193(10) mm/s that is characteristic for the CP [FeL(bipy)].\(^{[23]}\)

**Figure 1.** Cryo-TEM image of the narrowly dispersed spherical BCP micelles (A) with the hydrodynamic diameter distribution determined by DLS (B) and the size distribution derived from the image (C). Cryo-TEM image of the narrowly dispersed spherical SCO CP-BCP particles (D) with the hydrodynamic diameter distribution determined by DLS (E) and the size distribution derived from the image (F). (Corresponding DLS autocorrelation functions of both samples can be found in Figure S3.)

**Figure 2.** TEM image of the empty BCP micelles (A) with size distribution of the P4VP cores (B). TEM image of the narrowly dispersed SCO CP-BCP particles at low (C) and high (D) magnifications with size distribution of the SCO CP-BCP composite cores (E).
Figure 3. Magnetic susceptibility data for the SCO CP-BCP composite particles given as \( \chi_{MT} \) vs. \( T \) plot measured in sweep and settle mode (A). The colours represent different cooling and heating cycles as stated in the bottom left corner. For comparison, the results for the bulk material\(^{[2]}\) are included as well. TEM image of the SCO CO-BCP particles after annealing showing no agglomeration or enlargement of the particles (B). Size distribution of the particles derived from the given TEM image (C).

Figure 3 shows the \( \chi_{MT} \) vs. \( T \) plot for the sample measured in sweep and settle mode to investigate the effect of the BCP confinement and thermal annealing on the SCO properties of the CP. Different cooling and heating cycles were performed to trace the change in the spin transition regarding final \( \chi_{MT} \) values, transition temperature and hysteresis width. A pure Fe(II) HS compound of the used CP typically has a \( \chi_{MT} \) value of around 3.25 cm\(^3\) K mol\(^{-1}\) at 300 K. Since the Mössbauer spectrum shows that the compound is a pure HS Fe(II) species, the starting value of the as-synthesized product is adjusted to 3.25 cm\(^3\) K mol\(^{-1}\) at 300 K. All information on cooling and heating cycles performed on the SCO CP-BCP are summarized in Table 1. Upon cycle 1 (black curve, Figure 3A) down to 50 K the \( \chi_{MT} \) value stays approximately constant down to 200 K, where a rather gradual spin transition takes place with \( T_{c1} \) = 163 K. The \( \chi_{MT} \) value drops to 1.69 cm\(^3\) K mol\(^{-1}\) at 50 K, leaving around 62% of the Fe(II) centers in the HS state. Heating to 370 K reveals a 7 K wide hysteresis with \( T_{c2} \) = 170 K. With cycles 2 to 5 the transition temperatures are shifted to higher temperatures, reaching \( T_{c2} \) = 200 K and \( T_{c2} \) = 217 K, while also lowering the \( \chi_{MT} \) values at 50 K down to 1.27 cm\(^3\) K mol\(^{-1}\) after cycle 5. Thus, the SCO is more complete after the annealing process revealing a molar fraction of high-spin molecules of \( \phi_{HS} \approx 0.39 \). Although the CP is confined inside the micellar core, the hysteresis width of the SCO CP-BCP particles of 17 K is similar to the one of the bulk material (18 K). However, it is already known that a higher scan rate can lead to kinetic effects that broaden the hysteresis width.\(^{[26]}\) These effects can be eliminated by using the settle mode because the effective scan rate is reduced, giving the system time to adapt to the changed temperature. Therefore, the final cooling and heating cycle 6 from 400 K to 50 K and back to 300 K is performed in settle mode to show that the change induced by the thermal treatment is persistent and the hysteresis is smaller in the composite particles (Figure 3A, pink). In fact, the transition is even more complete than the one measured in sweep mode with a \( \chi_{MT} \) value of 1.04 cm\(^3\) K mol\(^{-1}\) at 50 K (\( \phi_{HS} \approx 0.32 \)). This is in good agreement with the expected HS fraction of \( \phi_{HS} \approx 0.22 \) for spherical 16 nm particles assuming that each iron center and the surrounding ligand occupies the space of 1 nm\(^3\). The hysteresis width is narrowed to 14 K with \( T_{c2} \) = 203 K and \( T_{c2} \) = 217 K. Temperature dependent Mössbauer spectra were used to confirm the results from the magnetic measurements, the results are summarized in Tables S1 and 2 and in Figure S12. Please note that due to the long measurement times only settle measurements are possible and the thermal annealing was done at a 393 K for 1 h leading to HS fractions more comparable to curve 3 or 4 in Figure 3 (see Table 2). Thermogravimetric analysis (TGA) of the sample before the annealing process shows no significant mass loss (relative loss around 0.1% until 100 °C, Figure S5). This indicates that a loss of residual solvent cannot be responsible for the improved SCO behavior.

Moreover, the improved SCO behavior is also not a result of the formation of microcrystals or agglomerated particles due to the exposure to elevated temperatures as indicated by TEM and DLS measurements on the redispersed SCO CP-BCP particles taken after the magnetic measurement (Figure 3B and Figure S6). The TEM measurements of the sample after thermal annealing shows particles of similar size and shape compared to the ones before annealing with core sizes of 14 ± 2 nm (Figure 3C). Consequently, DLS measurement underlines that the particles are still intact after annealing with an average \( D_{h} \) of 106 ± 67 nm (Figure S6). Further proof is given by SEM measurements that were performed before and after the annealing (Figure S7). No formation of microcrystals (> 1 μm) or larger nanoparticles was observed. SEM-EDX measurements show that the iron is homogenously distributed throughout the sample.

Table 1. Data of the magnetic measurements for the different heating cycles. The measurement modes, transition temperatures, hysteresis widths and \( \chi_{MT} \) values are given. The sweep rate is 5 K/min.

<table>
<thead>
<tr>
<th>#</th>
<th>Heating cycle [K]</th>
<th>Mode</th>
<th>( T_{c1} ) [K]</th>
<th>( T_{c2} ) [K]</th>
<th>Hysteresis width [K]</th>
<th>( \chi_{MT} ) at 50 K [cm(^3) K mol(^{-1})]</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>300-50-370(^{[a]})</td>
<td>sweep</td>
<td>163</td>
<td>170</td>
<td>7</td>
<td>1.70</td>
</tr>
<tr>
<td>2</td>
<td>370-50-380(^{[b]})</td>
<td>sweep</td>
<td>179</td>
<td>192</td>
<td>13</td>
<td>1.88</td>
</tr>
<tr>
<td>3</td>
<td>380-50-400(^{[c]})</td>
<td>sweep</td>
<td>192</td>
<td>207</td>
<td>15</td>
<td>1.69</td>
</tr>
<tr>
<td>4</td>
<td>400-50-400(^{[d]})</td>
<td>sweep</td>
<td>198</td>
<td>215</td>
<td>17</td>
<td>1.40</td>
</tr>
<tr>
<td>5</td>
<td>400-50-300(^{[e]})</td>
<td>sweep</td>
<td>200</td>
<td>217</td>
<td>17</td>
<td>1.27</td>
</tr>
<tr>
<td>6</td>
<td>300-50-300(^{[f]})</td>
<td>settle</td>
<td>203</td>
<td>217</td>
<td>14</td>
<td>1.04</td>
</tr>
</tbody>
</table>

\(^{[a]}\) Figure 3A, curve 1. \(^{[b]}\) Figure 3A, curve 2. \(^{[c]}\) Figure 3A, curve 3. \(^{[d]}\) Figure 3A, curve 4. \(^{[e]}\) Figure 3A, curve 5. \(^{[f]}\) Figure 3A curve 6
Temperature-dependent powder X-ray diffraction (PXRD) was measured to follow any change in the crystallinity of the sample caused by the annealing process (Figure 4). The sample was heated stepwise from RT to 333 K, 373 K, and 398 K and then cooled down back to RT to follow a possible change on the diffraction pattern induced by the temperature increase and to show that the changes are persistent after the annealing process. It was found that new reflexes appear at 9.6°2Θ and 15.4°2Θ not before 373 K and remain when the sample was cooled down to RT. In contrast, a reflex at 25.8°2Θ disappears at 373 K and above and remains absent back at RT. This led to the conclusion that some sort of reorientation occurs inside the SCO CP-BCP composite particles at temperatures above the glass transition temperature of the PS (T_g (PS) = 383 K, Figure S9). After heating, the diffraction pattern shows more similarity with the one of the bulk material.

The results indicate that by thermal treatment, especially when the composite particles are heated to and above T_g of the PS shell of 383 K, the crystallinity of the SCO CP inside the micellar core is improved because the PS chains become flexible, allowing the SCO CP to reorder inside the polymeric micelle.

Table 2. Comparison of the molar fraction of high-spin molecules (γ_{ax}) determined by Mössbauer spectroscopy (cf., Table S1) and magnetic susceptibility measurements (cf., Figure 3).

<table>
<thead>
<tr>
<th>T[K]</th>
<th>γ_{2θ=9.6°}</th>
<th>γ_{2θ=15.4°}</th>
<th>γ_{2θ=25.8°}</th>
</tr>
</thead>
<tbody>
<tr>
<td>175[^a]</td>
<td>0.80</td>
<td>0.86</td>
<td>0.68</td>
</tr>
<tr>
<td>80</td>
<td>0.62</td>
<td>0.62</td>
<td>0.54</td>
</tr>
<tr>
<td>175[^a]</td>
<td>0.74</td>
<td>0.80</td>
<td>0.61</td>
</tr>
</tbody>
</table>

[^a]: Values determined by Mössbauer spectroscopy before and after annealing, respectively.[^b]: Data recorded upon cooling.[^c]: Data recorded upon heating.

The synthesized SCO CP-BCP particles can be used to prepare PS/SCO CP composite fibers by electrospinning, a widely used technique employed for the production of polymer fibers of various shapes and properties.[27] Figure 5A shows PS/SCO CP composite fibers, which were spun from a mixture of PS (M_n = 97 000 g mol^-1, D = 1.02) and SCO CP-BCP particles in THF on aluminum foil (details on electrospinning can be found in the SI). The obtained color is typical for iron complexes of this ligand type, however, probably due to the remaining HS fraction, no significant color change upon cooling was observed. The presence of the SCO complex particles in the fibers was confirmed by Raman imaging (Figure 5B and 5C). Here, a very low laser power of 0.1 mW was employed, where only the SCO complex shows a Raman signal and, thus, a selective detection of the SCO complex in the composite fibers is possible. The overlay of the digital photograph with the Raman image clearly proves the presence of the SCO complex (colored in red) in the fibers. Moreover, the Raman spectra of the SCO complex in the neat SCO CP-BCP particles and in the composite fibers are identical, showing that electrospinning does not alter the structure of the SCO complex.

In conclusion, we have shown that the transition temperature of the SCO CP-BCP [FeL(bipy)]_n can be shifted gradually by thermal annealing. Starting with the as-synthesized product and the transition temperatures T_{1/2↓} and T_{1/2↑} of 163 K and 170 K respectively, the transition temperature is shifted 40 K to higher temperatures to reach its final state after several annealing steps with T_{1/2↓} = 203 K and T_{1/2↑} = 217 K. Furthermore, the hysteresis width is also broadened by the annealing process from 6 K (sweep) for the as-synthesized product to 17 K (sweep) for the annealed product. The subsequent susceptibility measurement in settle mode showed that the change in the
transition temperature is persistent with a hysteresis width of 14 K and an improved completeness of the spin transition from $\chi_{\text{ms}} = 0.52$ to $\chi_{\text{ms}} = 0.32$. Magnetic susceptibility, TGA, and temperature-dependent PXRD data support the assumption that the transition temperature change is a consequence of the thermal annealing and re-crystallization instead of a solvent loss of the sample or particle agglomeration. The demonstrated approach is potentially applicable to other polymeric SCO systems and offers an approach to fine-tune the transition temperatures by different polymer shells. Here it will be especially interesting to explore other linear polymers like the triazole-based systems that, with the methods used so far, often show stable hysteresis loops down to very small particle sizes.[13,19,20,22,28] The question arises if the different NP synthesis approaches (interaction with the polymer shell vs. surfactant) or differences in the SCO complexes (steric demand or differences in the SCO complexes (steric demand with or without interaction with the polymer shell vs. intermolecular interactions responsible for the observation of the hysteresis) are relevant factors. With regard to the relatively high remaining HS fraction observed in this manuscript, this can be clearly denoted to the ligand system, a more bulky Schiff base-like ligands compared to the triazoles leading to a higher fraction of complexes on the surface not undergoing SCO. Thus, an increase in particle size should lead to a reduction of the remaining HS fraction. With the still relatively limited data available, the other questions can so far not be answered satisfactorily and are still under investigation. Furthermore the SCO CP-BCP composite particles are suitable for polymer processing techniques such as electrospinning, employing a mixture with PS as fiber-forming matrix.

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Keywords: spin crossover - nanoparticle - electrospinning - block copolymer - composite material


The synthesis and characterization of spin crossover nanoparticles with improved spin crossover properties in a block copolymer matrix is described and their suitability for further processing techniques demonstrated.